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Robert Francis, Alexa Dufraisse. Firewood and timber collection and management strategies from early medieval sites in eastern england. Initial results from the anthraco-typological analysis of oak charcoal remains. *Quaternary International*, 2020, 10.1016/j.quaint.2020.10.070 . mnhn-03008091

**HAL Id: mnhn-03008091**

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Submitted on 16 Nov 2020

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# Journal Pre-proof

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PII: S1040-6182(20)30716-3

DOI: <https://doi.org/10.1016/j.quaint.2020.10.070>

Reference: JQI 8615

To appear in: *Quaternary International*

Received Date: 31 July 2020

Revised Date: 22 October 2020

Accepted Date: 27 October 2020

Please cite this article as: Francis, R., Dufraisse, A., Firewood and timber collection and management strategies from early medieval sites in eastern England. Initial results from the anthraco-typological analysis of oak charcoal remains, *Quaternary International* (2020), doi: <https://doi.org/10.1016/j.quaint.2020.10.070>.

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1 **Firewood and timber collection and management strategies from early**  
2 **medieval sites in eastern England. Initial results from the anthraco-**  
3 **typological analysis of oak charcoal remains**

4  
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12 Abstract

13 The study of charcoal from archaeological sites often focuses on merely the  
14 identification of taxa. However, the anthraco-typological analysis of oak charcoal  
15 offers extensive evidence about the wood diameter, growth pattern, and  
16 minimum age of the trees selected for harvest. This in turn gives valuable data  
17 on palaeoecology and woodland management. This review focuses on early  
18 stage results from oak charcoal remains from three early medieval rural sites in  
19 eastern England, dating from the 5th to the 9th century AD. Over 200 fragments  
20 of oak charcoal were selected and examined to identify the size class of the  
21 wood, the growth patterns and whether the wood was sapwood or heartwood.  
22 This has then given evidence of timber and fuel wood collection strategies and  
23 woodland management regimes. The data has provided additional evidence on  
24 the nature of the sites' features. Furthermore, the analysis has allowed  
25 comparisons to be drawn between the three contemporary sites, as well as to  
26 expand the archaeobotanical record to a more detailed understanding of the  
27 environment around these settlements. Exceptional material from the early  
28 medieval site of Flixborough has allowed a unique insight into the selection of  
29 timber and possible long-term woodland management strategies undertaken in  
30 the area during the mid 8th to 9th century AD. The results will be discussed  
31 regarding the economic and environmental context, demonstrating the value of  
32 dendro-anthracological tools in adding additional detail and a new understanding  
33 of these sites.  
34

35 KEY WORDS: Wood Charcoal, Wood Diameter, Radial Growth,  
36 Sapwood/Heartwood, Dendro-anthracology  
37

38

39

40

## 41 1. Introduction

42

43 Woodlands are not a static feature of the landscape. They have always been  
44 considered a commodity and exploited according to the needs of the community.  
45 Changes in the needs of the population due to various factors such as  
46 demographic pressures may cause the management strategies to change, for  
47 example from managed harvesting to the clearing of ground for arable land  
48 (Haneca et al., 2009). By the early medieval period Britain is described as  
49 having lost most, if not all, of its wild wood, with most of the cultivatable land  
50 being given over to agriculture and the remaining land being heath and  
51 moorland (Rackham, 1994). Woodland usually represents managed naturally  
52 grown trees clustered in 'islands' amongst farmland. The term forest is not used  
53 in this article so as to avoid confusion with the medieval use of the term 'Forest';  
54 a place for keeping and hunting deer (Rackham 2006: 24-27). During the early  
55 medieval period there were areas of Britain which had little or no woodland  
56 (Hooke, 2010: 120). By the end of this period the Domesday book records the  
57 county of Lincolnshire (the county in which Flixborough is situated) as only  
58 having 4% of its area covered by woodland and Northamptonshire (the county in  
59 which Dando Close and Higham Ferrers are situated) as having 8.8% of its area  
60 covered by woodland (Rackham, 1995: 54).

61

62 Palynology and anthracology are common proxies used to reconstruct woodland  
63 environments (Haneca, 2005). Evidence for the state of Britain's woodlands  
64 during the early medieval period is heavily reliant on pollen evidence. Although  
65 post Roman abandonment of managed woodlands and the reforestation of  
66 cultivated areas has often been suggested, the pollen evidence only supports  
67 this for some areas in the north west and north of England between AD 400-800  
68 (Dark, 2000:156) and (Huntley, 2010:31). This in turn highlights the problem  
69 with relying on pollen evidence as most sites are primarily located either in the  
70 western and northern edges of Britain, or in the southeast (Gearey and Richer,

71 2017). The sites under analysis here are situated in the East of Britain and do  
72 not have relative pollen data.

73 Alternatively, anthracological analysis of assemblages can provide an  
74 assessment of the wood harvested for domestic and industrial purposes. The  
75 analysis of charcoal differs from a pollen reconstruction as it is normally a result  
76 of human interaction with the environment during the period under investigation  
77 and therefore represents the past vegetation and how the inhabitants interacted  
78 with it (Asouti and Austin, 2005). Unfortunately, the number of anthracological  
79 assessments from the early medieval period in Britain is scarce in comparison to  
80 the preceding Roman period (Smith 2002, Murphy et al., 2001 and Huntley,  
81 2010). Assessments that include interpretations relating to the age, size, and  
82 possible woodland management strategies are particularly limited. There are  
83 however examples of evidence of wood selection recorded and interpreted by  
84 anthracologists. Robinson's (1997) analysis at Clacket Lane, Surrey, concluded  
85 that mature slow growing oak branch wood (identified by the presence of  
86 tyloses) was being selected for pottery furnaces. Another example is the Saxon  
87 pottery kiln at Michelmersh, Hampshire where Gale (2007) identifies the  
88 maturity of oak wood by assessing the presence of heartwood versus sapwood  
89 and stem diameters. Hall and Kenward (2004: 412) discuss the local woodland  
90 management around medieval York by interpreting the number of growth rings  
91 present in willow and hazel rods recovered from urban deposits. These three  
92 examples rely on the presence of round wood to identify age as well as the  
93 presence of tyloses to indicate heartwood in oak.

94 More recent studies have seen the adoption of anthracological approaches from  
95 continental Europe. Crew and Mighall's (2013) assessment of a medieval  
96 bloomery in Snowdonia calculates the estimated diameter of the charcoal  
97 fragments following Nelle's (2002) and Ludemann's (2008) diameter stencil  
98 method. This has allowed them to interpret the woodland management  
99 strategies at the site. Additionally, the study by Hazel et al. (2017) of charcoal  
100 from the post-medieval charcoal burning platforms at Barbon, Cumbria, uses an  
101 array of measurements based on methodology set out by Marguerie and Hunot  
102 (2007), including the number of growth rings, a qualitative assessment of the ring  
103 curvature, the presence of pith, bark, reaction wood, tyloses, degradation, radial  
104 fractures and vitrification level.

105 As of yet no study in Britain has used a quantitative combination approach  
106 following those set out in this article, making this initial study unique in Britain.  
107 Oak is a preferred timber tree because of its durability and mechanical  
108 properties and can be found in the construction of castles, cathedrals as well as  
109 domestic buildings (Haneca et al., 2009). Oak also responds well to coppice and  
110 pollarding (Rackham, 1995: 8). The ubiquitous presence of oak charcoal in  
111 archaeological assemblages from the early medieval period, (Smith, 2002,  
112 Murphy et al., 2001 and Huntley, 2010) make it the ideal genus for dendro-  
113 anthracological analysis. This research represents the innovative application of  
114 dendro-anthracological tools to archaeological assemblages rich in oak charcoal.  
115 For the first time this methodology has been applied to three sites in early  
116 medieval eastern England.

117 The objectives of the dendro-anthracological analysis were to:

118 (i) identify wood collection strategies, including the tree's estimated minimum  
119 diameter and what parts of the tree were being exploited.

120 (ii) identify the growth rate and potential age range of the trees being  
121 harvested.

122 (iii) inter-site and temporal comparisons of the size, growth rate and what part  
123 of the trees were being used.

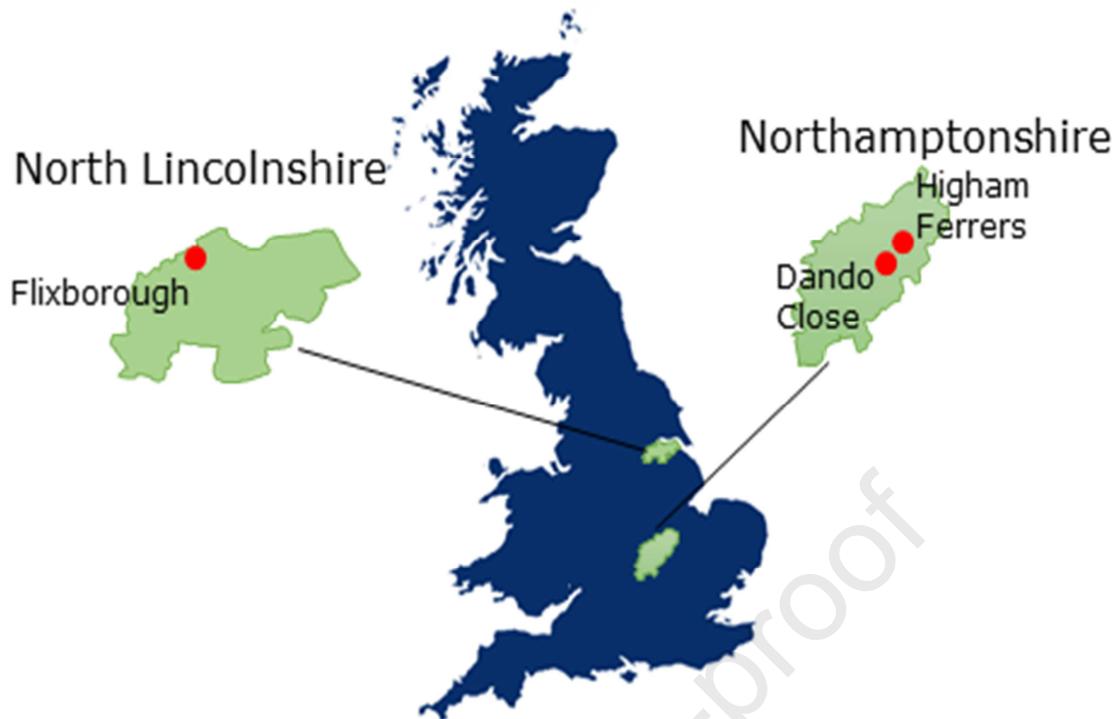
124 (iv) identify evidence of possible woodland management or climatic and  
125 environmental impacts on the growth of the trees.

## 126 2. Regional setting

127

128 Charcoal from samples not previously examined were chosen from three early  
129 medieval sites in eastern England (Fig. 1). The sites of Dando Close, and Higham  
130 Ferrers are in the county of Northamptonshire, and the site of Flixborough is in  
131 Lincolnshire, England.

132



133  
134 **Fig. 1.** Location of the three study sites.

135  
136 The site of Dando Close is situated in the village of Wollaston on a ridge of  
137 limestone overlooking the alluvial plain of the Nene Valley, 5 km east of the  
138 River Nene (British Geological Survey, 2020). The area has a temperate oceanic  
139 climate with an average rainfall of between 500 and 750 mm annually. The  
140 average summer temperature is between 14.8 and 15.8 °C and the average  
141 winter temperature is between 3.8 and 4.2 °C. (Met Office, 2020). Approximately  
142 5.2% of the county is covered in woodland (compared to 10% nationally). 57%  
143 of this is broadleaved and 43% is coniferous or mixed scrubland species  
144 (Northamptonshire County Council 2020). The village of Wollaston has limited  
145 woodland with 3.35 hectares of small plots of broadleaved woodlands within 2  
146 km of the site (Forestry Commission 2020).

147 Heritage Network Ltd undertook the excavations at Dando Close, between 2000  
148 and 2002. The excavation revealed an early medieval settlement with remains  
149 dating from the 5th to the 14th centuries AD (Sammelmann and Ashworth 2003:  
150 2). The contexts sampled included, fills of post-holes, fills of an oven, fills of  
151 sunken feature buildings, and fills of hearths.

152

153 The site of Higham Ferrers is situated in the town of the same name on a ridge  
154 of limestone on the eastern bank of the River Nene. The surrounding occupation  
155 area includes alluvial plains and a Boulder Clay plateau (British Geological  
156 Survey). The area has a temperate oceanic climate with an average rainfall of  
157 between 500 and 750 mm annually. The average summer temperature is  
158 between 14.8 and 15.8 °C and the average winter temperature is between 3.8  
159 and 4.2 °C. (Met Office, 2020). The town of Higham Ferrers has limited woodland  
160 with 9.72 hectares of small plots of broadleaved woodlands within 2 km of the  
161 site, most of which is situated in the nearby Nene Valley (Forestry Commission  
162 2020).

163 The Oxford Archaeological Unit excavated the site between 1993 and 2003  
164 (Hardy et al., 2007). The excavation uncovered a rural settlement with  
165 continuous occupation from the Roman to the medieval period, including a large  
166 8th century AD enclosure and associated buildings. Sampled contexts included  
167 the fill of pits, the fill of sunken feature buildings and residues from a malting  
168 oven.

169  
170 The site of Flixborough, North Lincolnshire is situated on a series of windblown  
171 sand spurs 8 km south of the Humber estuary. The site overlooks the floodplain  
172 of the River Trent (Loveluck, 2007: 3). The area has a temperate oceanic  
173 climate with an average rainfall of between 560 and 660mm annually. The  
174 average summer temperature is between 14.8 and 15.8 °C and the average  
175 winter temperature is between 3.8 and 4.2 °C (Met Office, 2020). Just over 4%  
176 of Lincolnshire is covered by woodland, making it one of the least wooded  
177 counties in Britain. 57.5% of this is broadleaved and 42.5% is coniferous or  
178 mixed scrubland species (Collop, 2011). The area around the village has  
179 approximately 55.21 hectares of mainly broadleaved woodland within 2 km of  
180 the site (Forestry Commission, 2020).

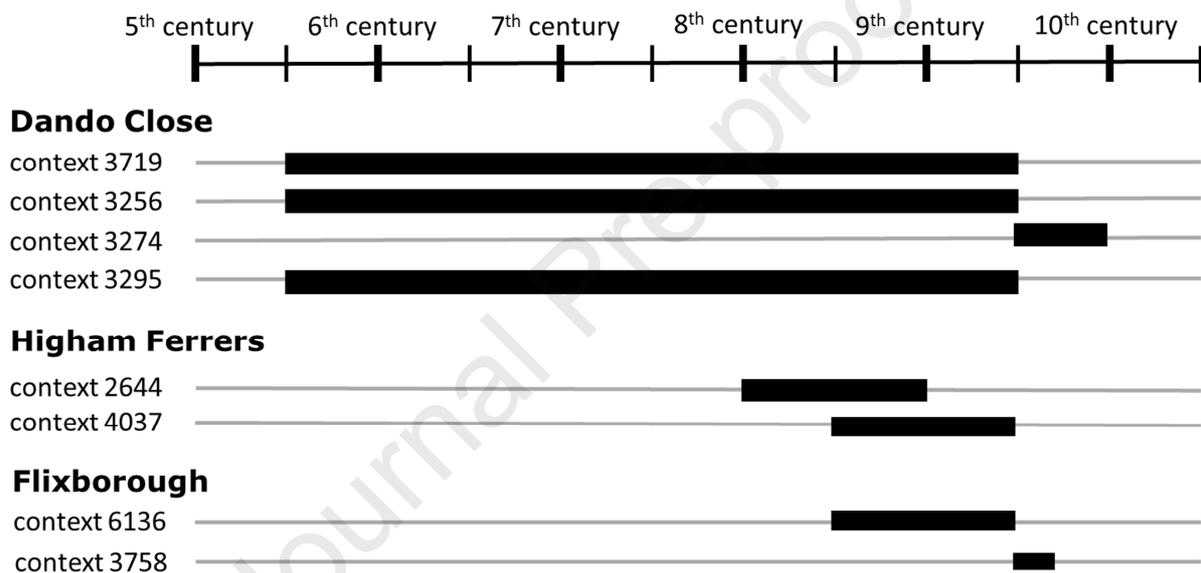
181 The excavation was conducted by Humberside Archaeology Unit between 1989  
182 and 1991 and funded on behalf of English Heritage. The excavation uncovered  
183 the remains of an early medieval settlement, including over thirty buildings  
184 dating from the early 7<sup>th</sup> to the mid 14<sup>th</sup> centuries AD. Also uncovered were well  
185 preserved refuse deposits containing large quantities of artefacts, faunal remains

186 and charcoal, sealed by windblown sand (Loveluck, 2007: 8). The charcoal  
 187 remains from the site were exceptionally well preserved with many large  
 188 fragments, some of which show carpenter tool marks (Loveluck and Darrah  
 189 2007: 57).

190

191 This study focuses on the early medieval period between the 5<sup>th</sup> and 10<sup>th</sup>  
 192 centuries. (Fig. 2). Dating for the sites was based on stratigraphic, artefactual  
 193 and scientific dating where possible (Hardy et al., 2007: 13, Semmelmann and  
 194 Ashworth, 2003: 29), and (Loveluck, 2007: 8-30).

195



196

197

**Fig. 2.** Chronology for the samples analysed.

198

### 199 3. Material and methods

#### 200 3.1. Material

201 Sample selection was based on three criteria. Firstly, the date of the samples  
 202 were selected to be similar across the three sites. This would allow comparisons  
 203 to be drawn between the sites. Secondly, samples were selected from contexts  
 204 of interest, such as domestic refuse deposits and specialised structures, like that  
 205 of the malting oven at Higham Ferrers. This criteria allowed short and long term  
 206 deposits to be sampled, with long term deposits likely to give information on the  
 207 diversity of the vegetation and short term deposits more likely to represent  
 208 specific composition of the last firing event (Chabal et al., 1999: 61). Finally,

209 samples with an abundance of large oak fragments were selected. This would  
210 optimise the chances of enough suitable fragments being available for dendro-  
211 anthracological assessment.

212

### 213 3.1.1. Dando Close

214 A bulk sampling strategy was adopted for the site whereby all dateable features  
215 were sampled. As a result, 714 bulk samples were taken for analysis. The bulk  
216 samples were sent to Environmental Archaeology Consultancy to be processed  
217 using a Siraf flotation tank fitted with a 0.5 mm sieve to collect the light fraction  
218 and a 1 mm mesh to collect the heavy residue. Once the flots were dry the  
219 heavy residue was refloatated to create a second light fraction allowing for the  
220 recovery of additional charred material that could have been potentially still  
221 trapped in the sediment.

222 Environmental Archaeology Consultancy loaned 41 unanalysed light fraction  
223 samples for the author's PhD research project. Of these, four samples were  
224 selected for this dendro-anthracology study.

225 The sample from context 3295 (mid 5<sup>th</sup> to mid 9<sup>th</sup> century AD) comes from the  
226 fill of a post hole from a sunken feature building.

227 The sample from context 3256 (mid 5<sup>th</sup> to mid 9<sup>th</sup> century AD) comes from a  
228 post hole not associated with any buildings containing a large amount of  
229 charcoal consisting nearly exclusively of oak.

230 The sample from context 3719 (mid 5<sup>th</sup> to mid 9<sup>th</sup> century AD) comes from a  
231 hearth containing a large amount of oak charcoal.

232 The sample from context 3274 (mid 9<sup>th</sup> to 10<sup>th</sup> century AD) comes from the fill of  
233 a post-hole believed to be from a burnt down grain store due to the large  
234 amount of grain found in the post holes. It was postulated that the grain relates  
235 to a single burning incident (Rackham, 2003).

236

### 237 3.1.2. Higham Ferrers

238 Oxford Archaeology undertook the environmental sample processing at Higham  
239 Ferrers. Bulk samples were taken at the discretion of the excavator and in  
240 consultation with the archaeobotanist. Occupation material, as well as context  
241 deemed of interest were sampled. 94 samples in total were collected. 42  
242 samples were processed by Siraf flotation using a 0.25 mm sieve to collect the  
243 light fraction and a 1 mm mesh to collect the heavy residue. The Oxford

244 Archaeological Unit loaned five unanalysed charcoal samples from the published  
245 site of Higham Ferrers, two of which were selected for this study.

246

247 The sample from context 2644 (early to late 8<sup>th</sup> century AD) comes from the fill  
248 of a post-pipe located in a dwelling. The material is believed to be domestic in  
249 origin or from grain processing.

250 The sample from context 4037 (late 8<sup>th</sup> to early 9<sup>th</sup> century AD) comes from the  
251 bottom of a flue in a stone built malting oven. The botanical remains were rich in  
252 barley, a proportion of which showed signs of sprouting (Moffett, 2007).

253

### 254 3.1.3. Flixborough

255 Humberside Archaeology Unit undertook the environmental sampling at  
256 Flixborough. 560 samples and sub-samples from 386 contexts were taken. Due  
257 to the exceptional preservation of the charcoal in the refuse deposits, it was  
258 collected on site as spot finds to avoid mechanical damage (Hall, 2000). The  
259 North Lincolnshire Museum archive loaned nine samples of hand-collected  
260 charcoal from excavated contexts of which two were selected for this study.

261 The sample from context 6136 (mid 8<sup>th</sup> to early 9<sup>th</sup> century AD) and the sample  
262 from context 3758 (mid 9<sup>th</sup> century AD) both come from large waste dumps in a  
263 shallow valley. The contents of which are believed to be the result of rebuilding  
264 or the demolition of structures on the site.

265

## 266 3.2. Methods

### 267 3.2.1. Anthracology method

268 The anthracological analysis of the charcoal followed Chabal et al. (1999: 66-67)  
269 recommendations, that to recover the full range of taxa in an assemblage it is  
270 necessary to establish a fragment/taxa curve until a plateau is reached.

271 For each sample a minimum of 100 fragments were analysed. The charcoal was  
272 graded into >4 mm and 2-4 mm fractions and all fragments were then analysed.  
273 Fragments were fractured following standard methods of preparation (Leney and  
274 Casteel, 1975). The fragments were fractured so as the transverse section could  
275 be observed using a low power stereomicroscope at x10-40 magnification,  
276 allowing the growth rings to be examined and counted. The fragments were then  
277 fractured in all three sections (transverse, tangential longitudinal and radial  
278 longitudinal) and observed using an epi-illuminating microscope at

279 magnifications of x100-500, so as the key features could be recorded. The  
280 anatomy of the fragment was then compared with published sources (Hather,  
281 2000; Schweingruber, 1982) and with the modern charcoal sample from a  
282 reference collection available at the Department of Classics and Archaeology of  
283 the University of Nottingham. Identification to genus level was usually possible,  
284 however trees and shrubs belonging to the apple subfamily (Maloideae)  
285 including hawthorn (*Crataegus*), apple (*Malus*), pear (*Pyrus*) and mountain  
286 ash/service (*Sorbus*) are notoriously difficult to identify to genus and therefore  
287 were labelled as Maloideae. It is often difficult to distinguish between willow  
288 (*Salix* sp.) from popular (*Populus* sp.) based on their wood anatomy. The  
289 distinguishing feature used here are the predominance of heterogeneous rays  
290 visible in the radial section of willow. Popular has a predominance of  
291 homogeneous rays. Where the rays were not visible or there was not a  
292 predominance of either heterogeneous or homogeneous rays the label of  
293 popular/willow was given to the fragment (Hather 2000: 111). Oak fragments  
294 were identified as *Quercus* dec. (deciduous oak) as anatomically the two species  
295 of common deciduous oak pedunculate (*Quercus robur* L.) and sessile oak  
296 (*Quercus petraea* (Matt.) Liebl.) cannot be differentiated based on their wood  
297 anatomy. Charcoal fragments of oak that had a 2 mm or greater transverse  
298 cross section and at least one entire growth ring including both boundaries were  
299 separated for further dendro-anthracological analysis.

300

### 301 3.2.2. Dendro-anthracological method

302 Oak provides clearly identifiable anatomy, such as growth rings and ligneous  
303 rays which facilitate measurements as well as the ability to identify the  
304 lignification process where heartwood is created. Fragments of oak charcoal  
305 were observed using a Nikon AZ100 multizoom microscope with magnification  
306 factors of x4 to x500 and NIS Element image analysis software based at the  
307 UMR 7209 (MNHN/CNRS, Paris) according to the protocol defined by Dufraisse et  
308 al. (2018a).

309 At its core, dendro-anthracology aims by means of several different tools to  
310 identify where in the tree the fragment of charcoal originated in respect of the  
311 centre of the stem, thus allowing the growth rate and the diameter of wood  
312 harvested to be calculated (Dufraisse et al., 2018a). Firstly, the fragment is  
313 assessed as to whether it shows the presence of sapwood or heartwood, this is

314 achieved by calculating the ratio of tyloses filled vessels versus empty vessels.  
315 Up to 50 vessels spread over 3-4 rings are counted. If over 85% of the vessels  
316 have tyloses, the fragment is considered to be heartwood. Those fragments  
317 where less than 65% of the vessels have tyloses are recorded as sapwood. This  
318 measurement estimates the minimum age range of the wood, as heartwood  
319 generally forms in oak wood after 20 to 25 years (Dufraisse et al. 2018b).  
320 Secondly, the pith estimation tool. This calculates an estimated minimum radius  
321 for the charcoal fragment being studied. This is done by measuring the radius of  
322 the fragment from the outermost complete growth ring using a trigonometric  
323 tool to measure the angle and the distance between two ligneous rays (Dufraisse  
324 et al., 2020). The measurement is repeated five times and the average is  
325 calculated after removing the two extreme values. The radius value then gives a  
326 projected diameter (radius x2) and is grouped in diameter classes according to  
327 Dufraisse et al. (2018a) 0-2 cm, 2-4 cm, 4-7 cm, 7-10 cm 10-20 cm, and >20  
328 cm. Finally, the growth rings of each fragment are measured to the nearest 0.01  
329 mm and the average calculated for the fragment. A threshold of 1.5 mm is used  
330 to differentiate narrow from wide rings. Additionally, the growth pattern of  
331 fragments with multiple rings was recorded. The measured width for the growth  
332 ring has a correction of an additional 20% applied to compensate for the  
333 shrinkage effect while being transformed from wood to charcoal. This is based  
334 on experimental work carried out by Paradis-Grenouillet and Dufraisse (2018)  
335 where oak wood underwent carbonization (heating without oxygen as described  
336 by Braadbaart and Poole (2008)) and the reduction in size was measured. The  
337 combination of these parameters makes it possible to arrange each fragment  
338 into 8 anthraco-groups (Fig. 3). The first division separates the fragments into  
339 less or greater than 7 cm diameter and relates to the limit between branches  
340 and trunks in deciduous oak woodlands. The next division combines specific  
341 threshold values between sapwood and heartwood and narrow and large rings  
342 (Dufraisse et al., 2018a).

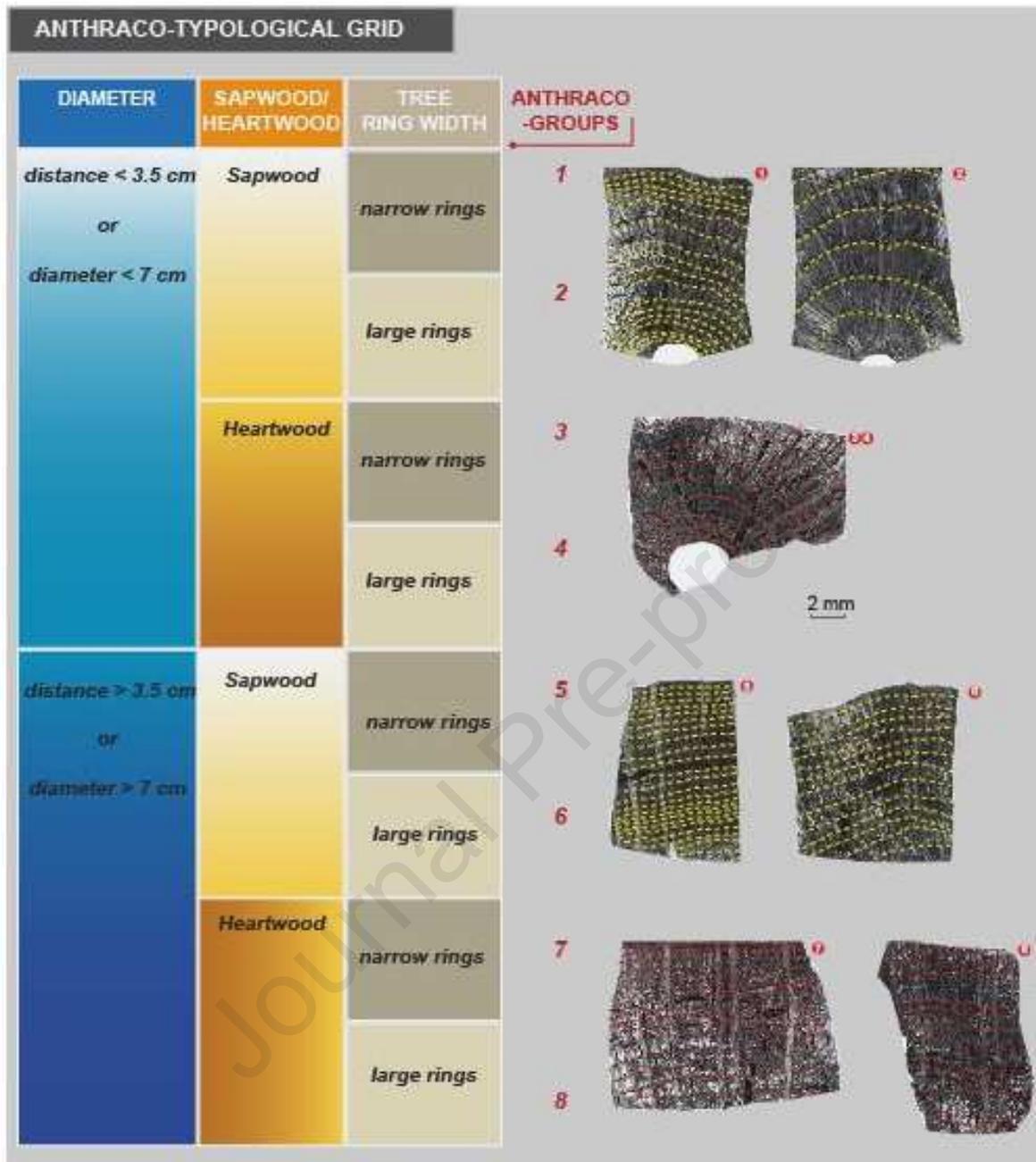
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346

347



348

349 **Fig. 3.** Anthraco-typological grid, from Dufraisse et al., 2018a

## 350 4. Results

## 351 4.1. Anthracological results

352 Eight samples from the three research sites were analysed (Fig. 4). 1160  
 353 fragments were identified. The presence of eight taxa were recorded, those of  
 354 oak (*Quercus dec.*), ash (*Fraxinus excelsior*), hazel (*Corylus avellana*), field  
 355 maple (*Acer campestre*), birch (*Betula sp.*), alder (*Alnus glutinosa*), willow (*Salix*  
 356 *sp.*) popular/willow (*Populus/Salix sp.*) and Maloideae. All the samples contained  
 357 a majority of oak fragments ranging from 60% to 100% with the next most  
 358 abundant taxa being hazel and ash. These findings are comparable with the

359 diversity of species already identified from Higham Ferrers (Thompson and  
 360 Francis, 2007), Flixborough (Hall, 2000) and from the samples analysed by the  
 361 author from Dando Close (Francis, unpublished).

Mid 9 <sup>th</sup> century	Mid 8 <sup>th</sup> to early 9 <sup>th</sup> century	Late 8 <sup>th</sup> to early 9 <sup>th</sup> century	Early to late 8 <sup>th</sup> century	Mid 9 <sup>th</sup> to 10 <sup>th</sup> century	Mid 5 <sup>th</sup> to mid 9 <sup>th</sup> century	Mid 5 <sup>th</sup> to mid 9 <sup>th</sup> century	Mid 5 <sup>th</sup> to mid 9 <sup>th</sup> century	Period
Flixborough	Flixborough	Higham Ferrers	Higham Ferrers	Dando Close	Dando Close	Dando Close	Dando Close	Site
3758	6136	4037	2644	3274	3719	3295	3256	Context
Waste dump	Waste dump	Malting oven	Fill of post pipe	Fill of post hole	Fill of post hole	Fill of post hole	Fill of post hole	Feature
71%	60%	97%	100%	95%	84%	86%	99%	<i>Quercus dec.</i>
10%	2%	3%	-	4%	2%	2%	-	<i>Fraxinus excelsior</i>
10%	21%	-	-	-	2%	6%	-	<i>Corylus avellana</i>
-	-	-	-	-	4%	2%	-	<i>Acer campestre</i>
-	5%	-	-	-	8%	4%	1%	Maloideae
1%	-	-	-	-	-	-	-	<i>Betula sp.</i>
6%	11%	-	-	-	-	-	-	<i>Alnus glutinosa</i>
-	1%	-	-	-	-	-	-	<i>Salix sp.</i>
1%	-	-	-	-	-	-	-	<i>Populus/ Salix sp.</i>

362

363

364 **Fig. 4.** Anthracological results from the sites of Dando Close, Higham Ferrers and Flixborough

#### 365 4.2. Dendro-anthracological results

366 Following the methodology highlighted above, 283 fragments of oak charcoal  
 367 were analysed. 1370 annual growth rings were measured, with an average of  
 368 nearly 5 rings per fragment, although exceptional fragments from the  
 369 Flixborough site had up to 39 rings present. The wood's estimated diameter,  
 370 sapwood/heartwood and annual growth rate will first be described. Following this  
 371 the combination of these results will be detailed in terms of anthraco-groups.

372

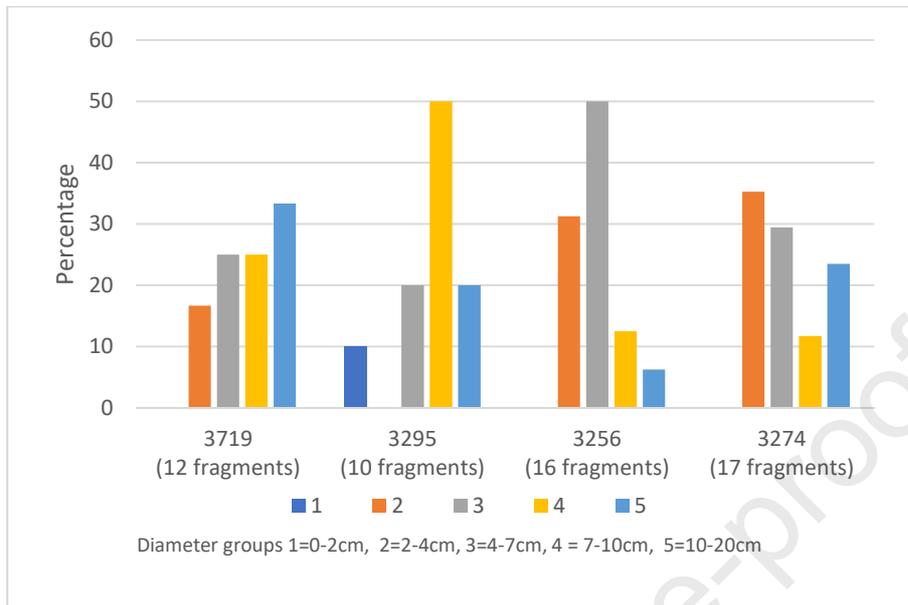
#### 373 4.2.1. Dando Close

##### 374 4.2.1.1. Wood diameter

375 The diameter range at Dando Close varies between 0-2 cm and 10-20 cm with  
 376 the highest number of fragments (32.7%) belonging to class 4-7 cm followed by  
 377 classes 2-4 and 7-10 cm. The least represented class is 0-2 cm. The distribution  
 378 of wood diameter is not the same from one context to another. Thus, the  
 379 fragments of between 4-7 cm are the main class in context 3256, while those  
 380 between 7-10 cm dominate context 3295. Fragments of between 2-4 cm are

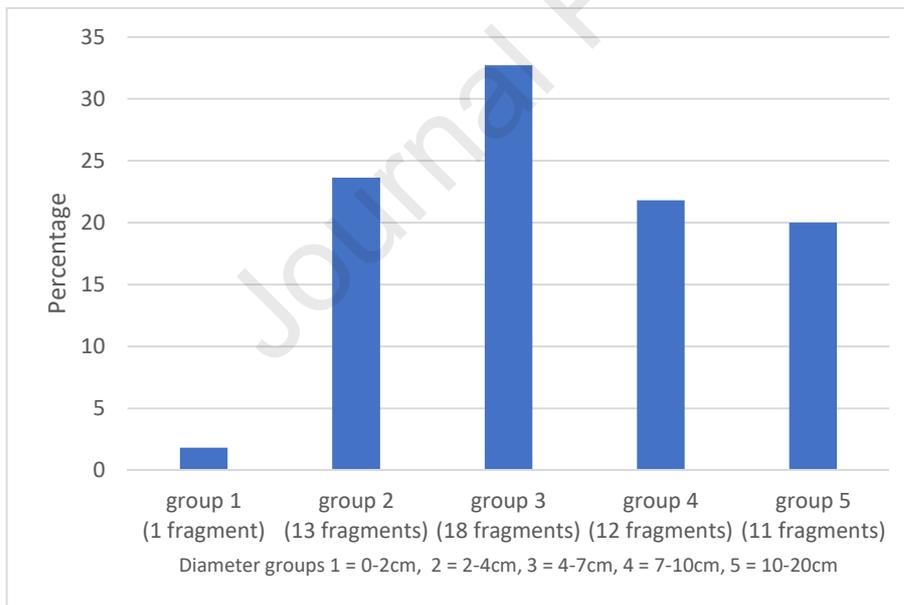
381 highest in context 3274 and those between 10-20 cm are highest in context  
 382 3719 (Fig. 5 & 6).

383



384

385



386

387 **Fig. 5.** Charcoal fragments in percentages by context and  
 388 diameter group.

389 **Fig. 6.** Charcoal fragments in percentages for the site overall by  
 390 diameter group.

391

392 4.2.1.2. Sapwood vs Heartwood

393 96% of the fragments in the assemblage were identified as sapwood (Fig. 7).

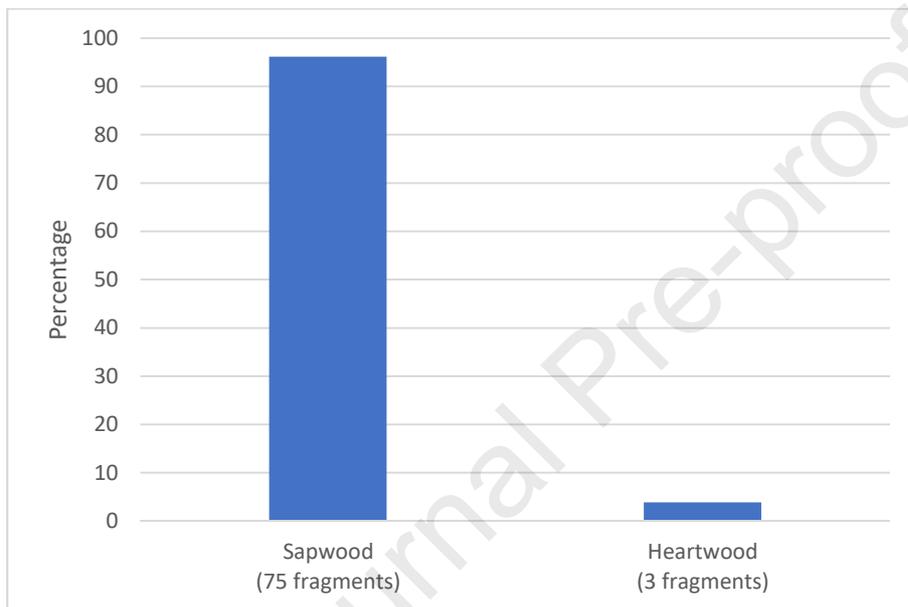
394 The assemblage contained only three fragments of heartwood, although these  
 395 do not appear in the anthraco-typological analysis as they did not provide a pith

396 estimation value. The three fragments of heartwood present are found in context  
 397 3719, 3295 and 3256.

#### 398 4.2.1.3. Annual growth

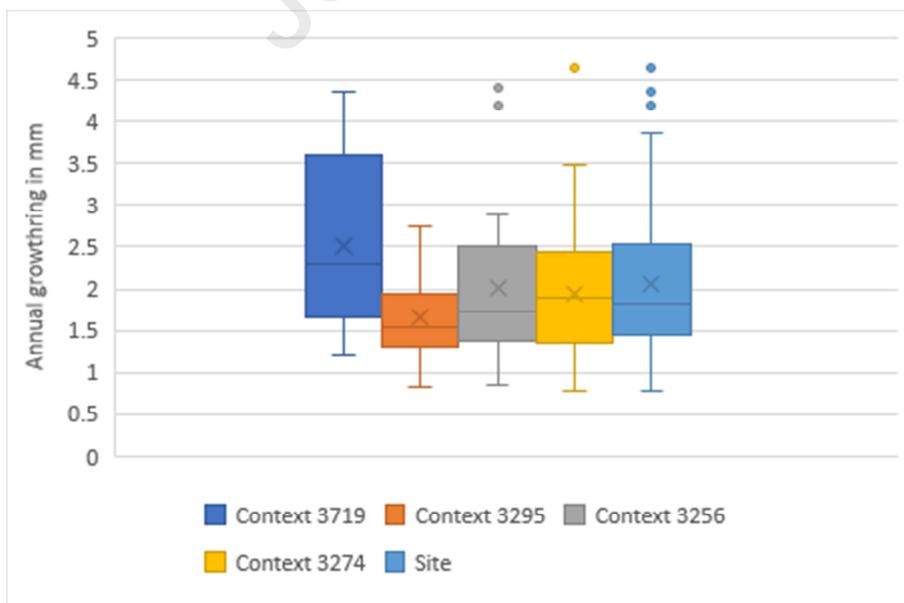
399 The annual growth range for the site is between 0.77 mm and 3.85 mm with an  
 400 average of 2.04 mm. The sample from context 3719 has a significantly higher  
 401 average annual growth of 2.5 mm compared to that of the samples from context  
 402 3295 with 1.66 mm, context 3256 with 2 mm, and context 3274 with 1.93 mm  
 403 (Fig 8.).

404



405

406



407

408 **Fig. 7.** Distribution of charcoal fragments by sapwood and heartwood.  
 409 **Fig. 8.** Annual growth ring range by context and for the site.

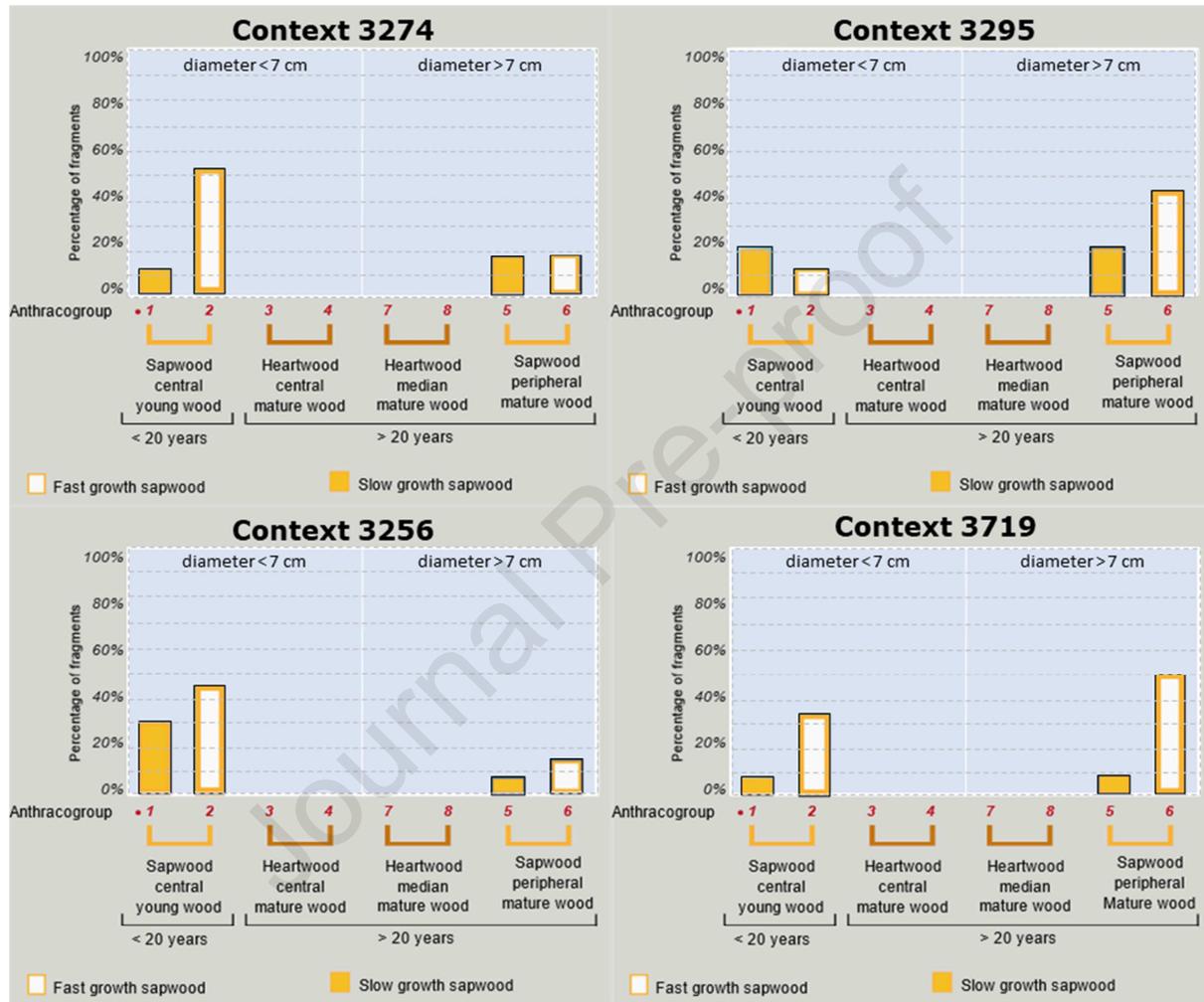
410

411 4.2.1. 4. Anthraco-typological analysis

412 The charcoal was sorted between eight anthraco-groups according to the results  
413 of the dendro-anthracological tool measurements (Fig.9.).

414

415



416

417 **Fig. 9.** Dendro-anthracological key for the oak from Dando Close sorted into anthraco-groups

418

419 There are very few differences noticeable between the contexts analysed (SM1).

420 The combination of these three parameters indicates that at a site-wide level,

421 there is exploitation of fast growing sapwood from young and mature trees

422 (anthraco-groups 2 and 6) representing 68.6% of the assemblage. Group 2's

423 average diameter for the site was 3.92 cm, its maximum diameter was 6.84 cm

424 and its average annual growth rate was 2.28 mm. Group 6's average diameter

425 was 10.29 cm and maximum diameter was 17.35 cm and its average annual

426 growth rate was 2.19 mm. Fragments from group 2 represent young wood of  
 427 less than 20 years old. The fragments from group 6 represent sapwood from  
 428 trunks over 20 years old, however the heartwood that would be expected to  
 429 show in groups 3, 4, 7 and 8 are not present in the assemblage.

430 There is also the exploitation of slow growing sapwood close to the pith  
 431 (anthraco-group 1). This potentially corresponds to branch wood representing  
 432 17.64% of the sampled fragments with an average growth of 1.25 mm a year.  
 433 The average diameter for anhraco-group 1 was 5.29 cm and its maximum was  
 434 6.77 cm.

435 The smallest amount of fragments belong to anhraco-group 5 (13.72%). This is  
 436 slow growing sapwood from the periphery of mature wood. Group 5's average  
 437 diameter was 12.05 cm and maximum diameter was 18.71 cm and its average  
 438 annual growth rate of 1.17 mm.

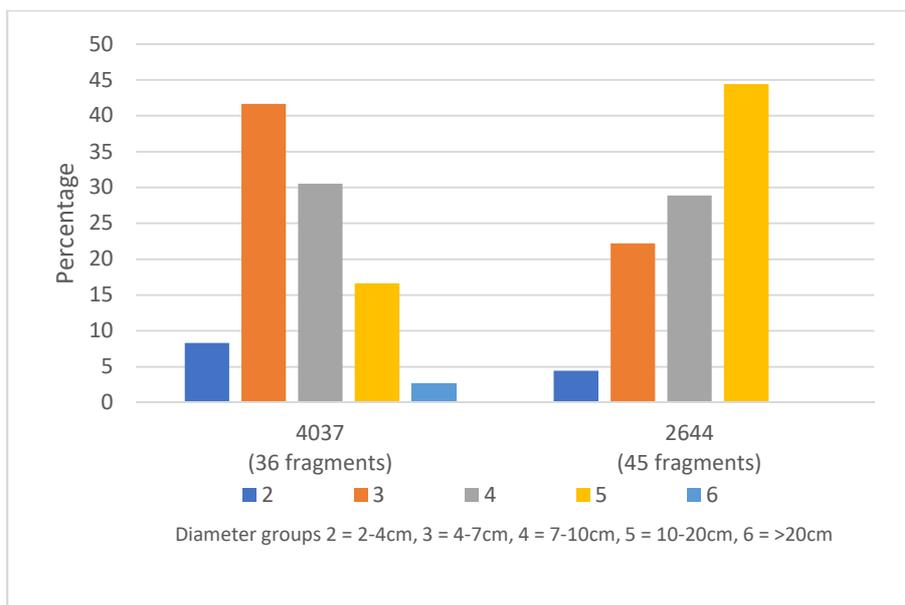
439

#### 440 4.2.2. Higham Ferrers

##### 441 4.2.2.1. Wood diameter

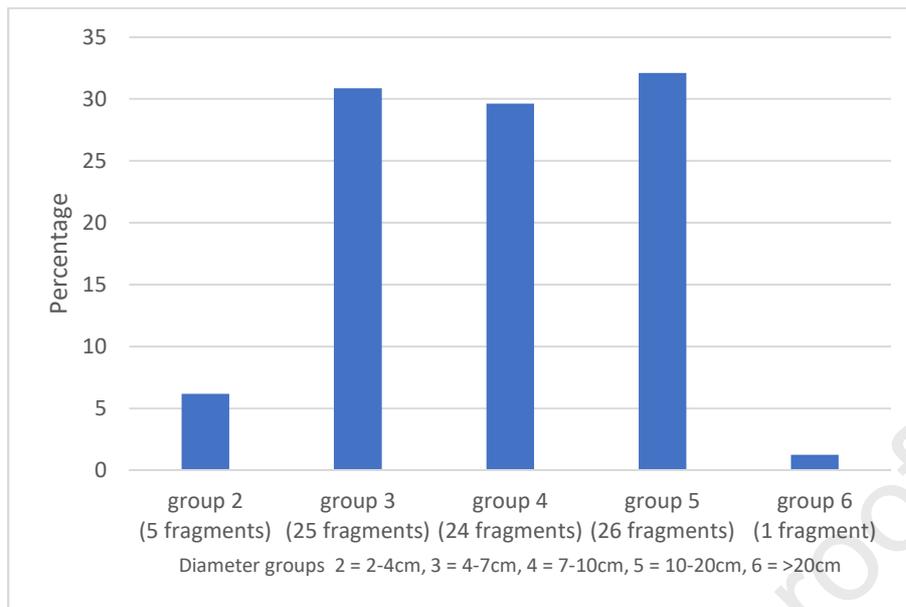
442 The diameter range at Higham Ferrers varies between 2-4 cm and >20 cm with  
 443 the highest number of fragments (32%) belonging to the diameter class 10-20  
 444 cm followed by classes 4-7 cm and 7-10 cm. The least represented class is >20  
 445 cm. The distribution of diameter classes is not the same from one context to the  
 446 other. The class 4-7 cm dominates in context 4037, while the class 10-20 cm  
 447 dominates in context 2644 (Fig. 10 & 11).

448



449

450



451

452 **Fig. 10.** Charcoal fragments in percentages by context and  
 453 diameter group.

454 **Fig. 11.** Charcoal fragments in percentages for the site by  
 455 diameter group.

456

#### 457 4.2.2.2. Sapwood vs Heartwood

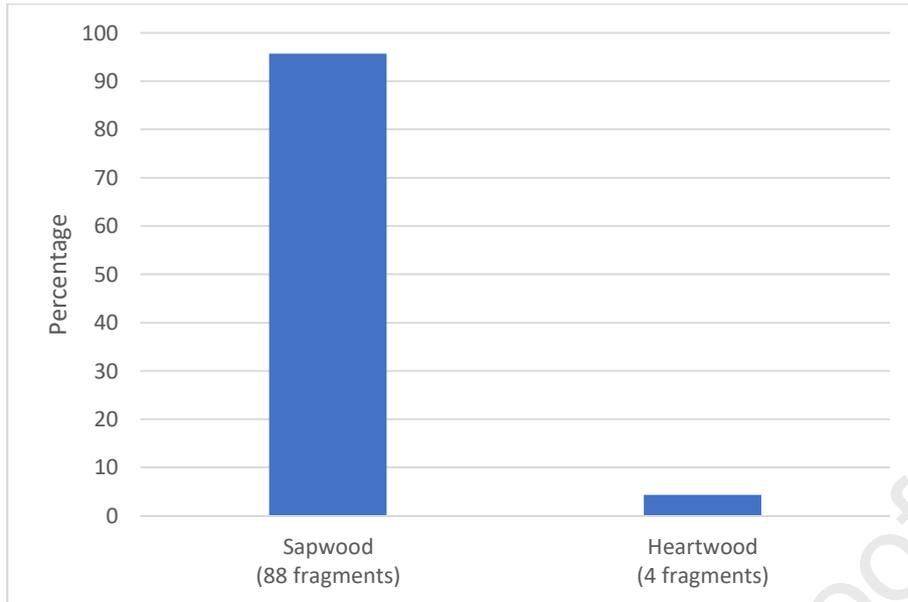
458 Sapwood dominates the assemblage with 95.65% of the fragments (Fig. 12).  
 459 The small amount of heartwood comes exclusively from the malting oven  
 460 context 4037.

#### 461 4.2.2.3. Annual growth

462 The growth range for the site is between 0.81 mm and 4.1 mm (excluding the  
 463 outliers) with an average of 2.21 mm. The sample from context 2644 has a  
 464 significantly higher average annual growth rate of 2.65 mm with a range from  
 465 1.29 mm to 4.99 mm and outliers reaching 6.52 mm (Fig. 13). In contrast the  
 466 sample from the malting oven context 4037 has a lower growth range of 0.81  
 467 mm to 3.75 mm with an average annual growth of 1.76 mm.

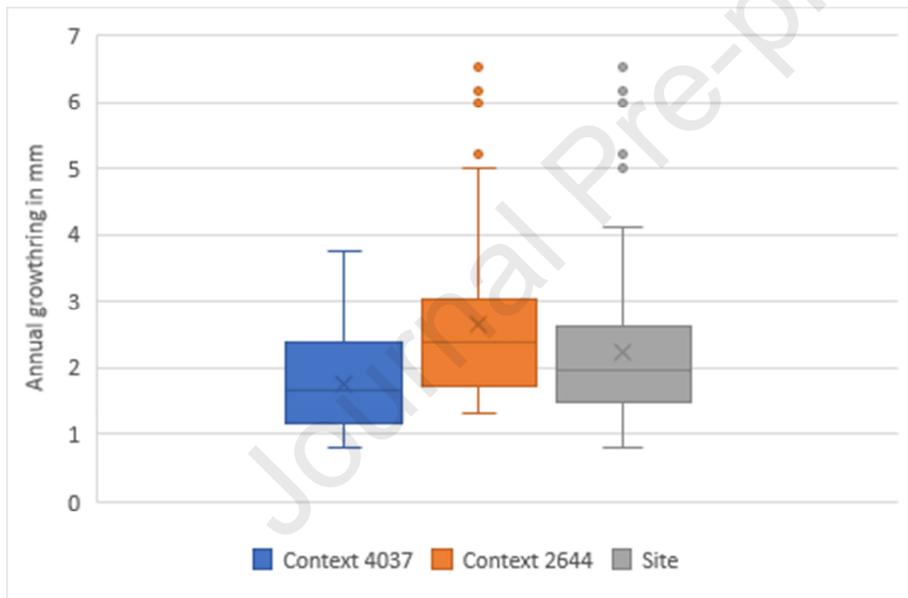
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472

473 **Fig. 12.** Distribution of charcoal fragments by sapwood and heartwood.474 **Fig. 13.** Annual growth ring range by context and overall, for the site.

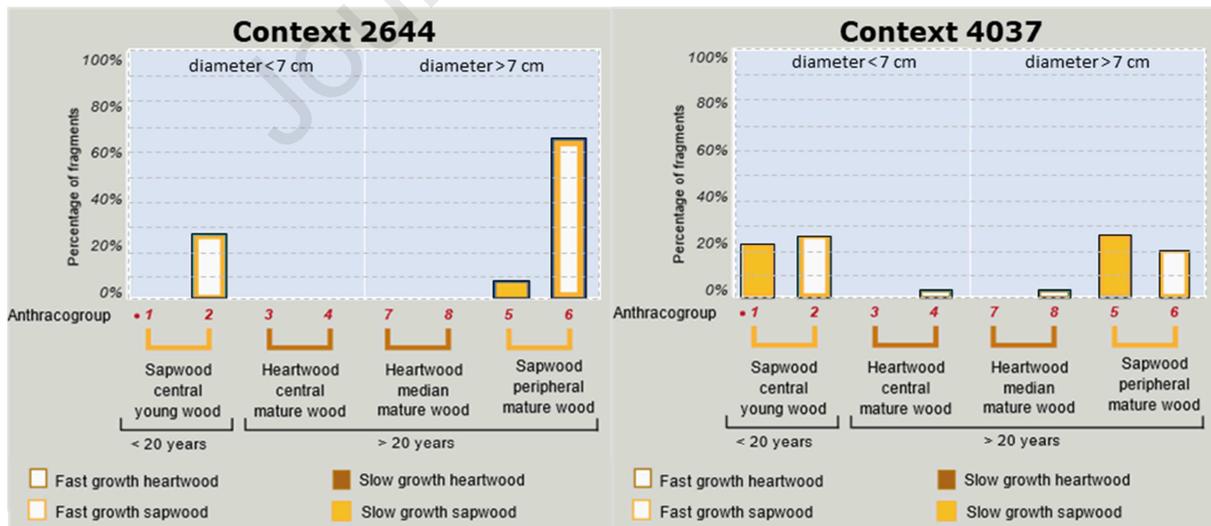
475

476 *4.2.2.4. Anthraco-typological analysis*

477 The combination of these three parameters indicates at a site-wide level the  
 478 exploitation of fast-growing sapwood (anthraco-groups 2 and 6) and slow  
 479 growing sapwood (anthraco-group 5) representing 88.15% of the assemblage  
 480 (Fig. 14). The average diameter of fragments from anthraco-group 2 is 4.93 cm.  
 481 The maximum diameter is 6.27 cm and the average growth rate is 2.69 mm.  
 482 The average diameter of fragments from anthraco-groups 6 is 10.74 cm, the  
 483 maximum diameter is 17.85 cm and the average growth rate is 2.59 mm. The

484 average diameter of fragments from anthraco-group 5 is 10.64 cm, the  
 485 maximum diameter is 15.23 cm and the average growth rate is 1.21 mm (SM.  
 486 2). This data suggest on one hand the exploitation of young tree trunks less  
 487 than 20 years old (anthraco-group 2) and on the other hand the exploitation of  
 488 older trunks over 20 years old (anthraco-group 5 and 6) but whose heartwood is  
 489 under represented with only a few fragments present in anthraco-groups 4 and 8  
 490 of context 4037. The sample from context 2644 (the fill of a post pipe) is  
 491 dominated by wood that is fast growing sapwood, the majority of which is from  
 492 anthraco-group 6. The sample from context 4037 (the malting oven) in contrast  
 493 has a more even spread over groups 1,2,5 and 6 suggesting the use of slow and  
 494 fast growing young and mature sapwood. Fragments belonging to Anthraco-  
 495 group 1 are only found in context 4037 and account for 22.58% of the sample.  
 496 The average diameter is 5.72 cm , the maximum diameter is 6.72 cm and the  
 497 average annual growth is 1.17 mm. Context 4037 also contains a very small  
 498 number of fragments from anthraco-group 4 and 8 which represent fast growing  
 499 heartwood. The diameter of the fragment from anthraco-group 4 is 3.45 cm and  
 500 its growth rate is 1.68 mm. The diameter of the fragment from anthraco-group 8  
 501 is 13.33 cm and its growth rate is 3.76 mm.

502  
 503



504  
 505

**Fig. 14.** Dendro-anthracological key for the oak from Higham Ferrers sorted into anthraco-groups

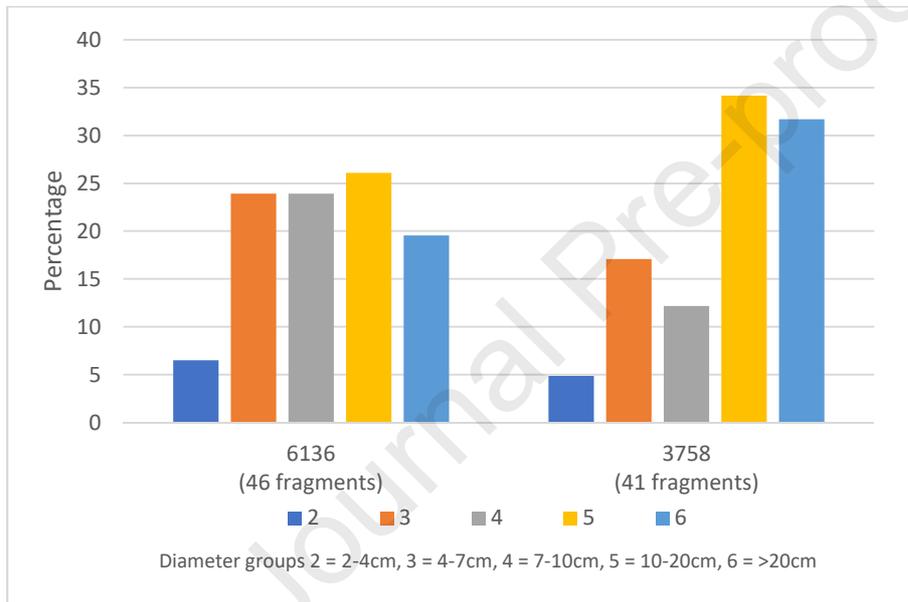
506

507 4.2.3. Flixborough

508

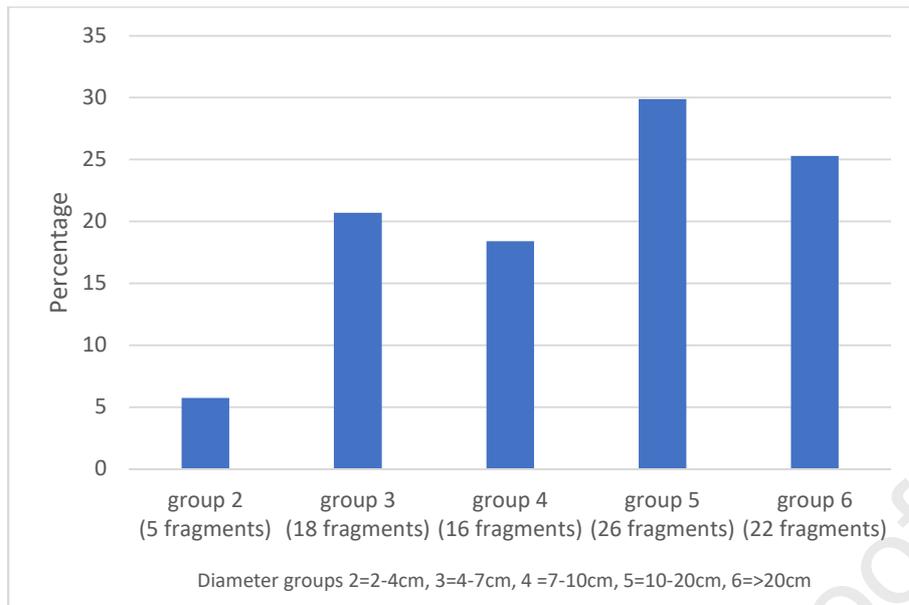
509 4.2.3.1. Wood diameter

510 The diameter range at Flixborough varies between 2-4 cm and >20 cm with the  
 511 highest number of fragments (29.88%) belonging to class 10-20 cm, followed by  
 512 classes >20 and 4-7 cm. The least represented class is 2-4 cm. The distribution  
 513 of diameter classes is not the same from one context to the other. Charcoal from  
 514 the 10-20 and >20 cm classes dominates in context 3758, while there is a  
 515 relatively even spread across classes 4-7, 7-10 and 10-20 cm in context 6136  
 516 (Fig. 15 & 16).



517

518



519

520

521 **Fig. 15.** Charcoal fragments in percentages by context and  
522 diameter group.

523 **Fig. 16.** Charcoal fragments in percentages for the site by diameter group

524

#### 525 4.2.3.2. Sapwood vs Heartwood

526 Sapwood dominates the assemblage with 92.85% of the fragments (Fig. 17).

527 The small amount of heartwood present comes from both contexts.

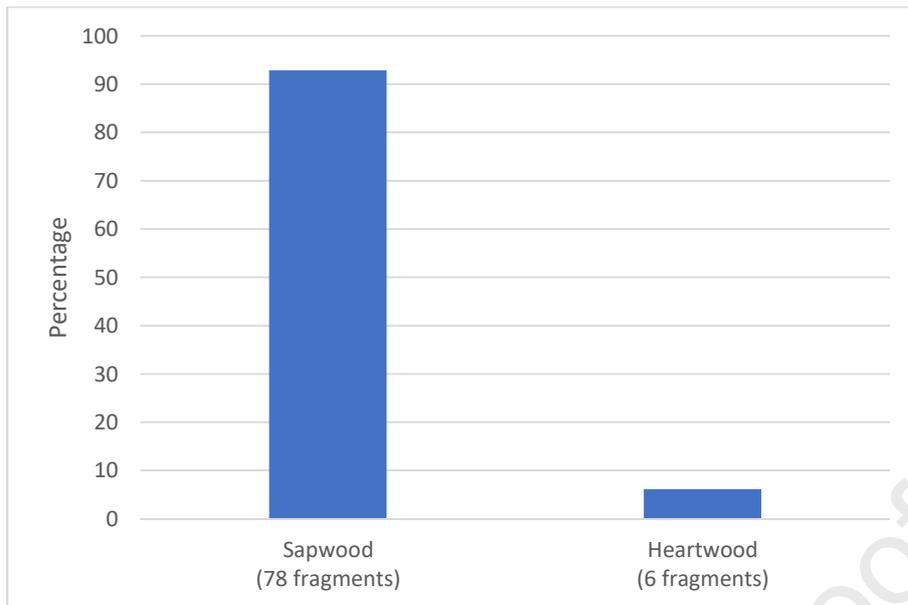
528

#### 529 4.2.3.3. Annual growth

530 The growth range for the site is between 0.52 mm and 3.27 mm (excluding the  
531 outliers) with an average of 1.82 mm. Both samples have similar average  
532 growth rates with 1.94 mm for context 3758 and 1.70 mm for context 6136,  
533 although context 3758 has a higher range with growth rates up to 3.81 mm (Fig.  
534 18).

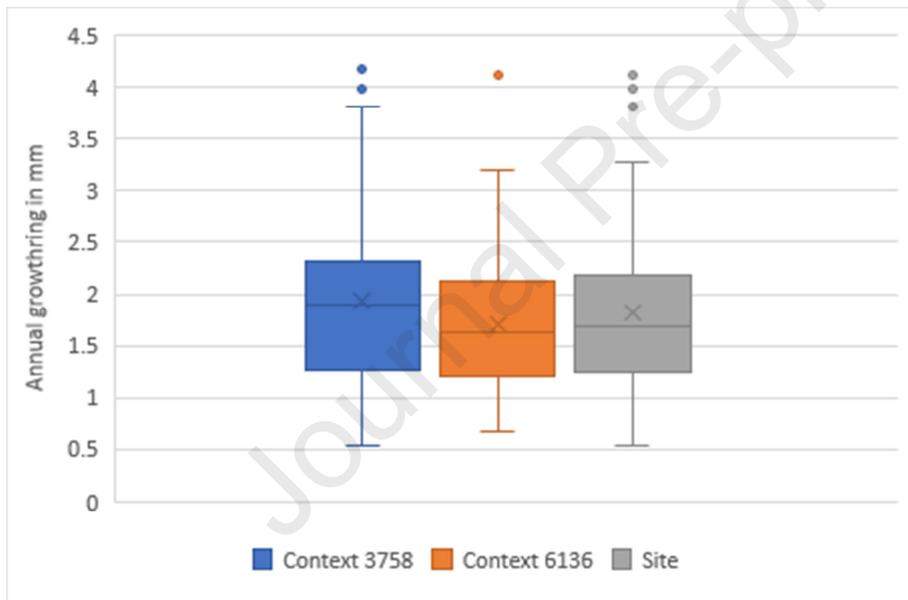
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539

540 **Fig. 17.** Distribution of charcoal fragments by sapwood and heartwood.541 **Fig. 18.** Annual growth ring range by context and overall for the site.

542

543 4.2.3.4. *Anthraco-typological analysis*

544

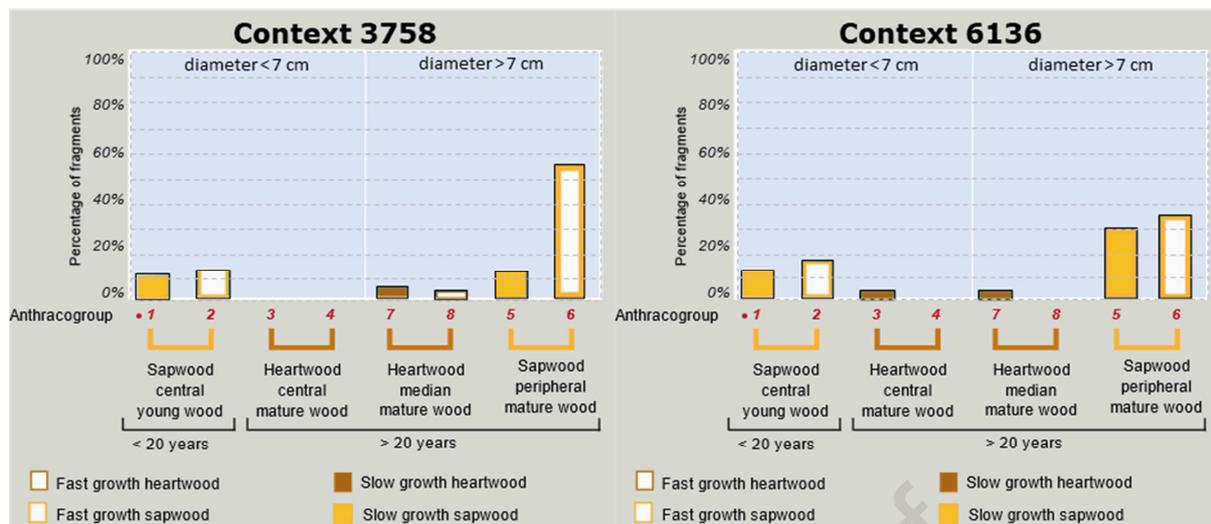
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550

551 **Fig. 19.** Dendro-anthracological key for the oak from Flixborough sorted into anthracogroups

552

553 The anthraco-typological results of the two contexts are very similar (Fig. 19,  
554 see also SM. 3).

555 The combination of these three parameters indicates at a site-wide level the  
556 exploitation of fast growing sapwood from the periphery of the trunk over 20  
557 years old (anthracogroup 6), representing 44.59% of the charcoal examined.  
558 Slow growing sapwood from wood over 20 years old (anthracogroup 5)  
559 represents 20.27%. The average diameter of fragments from anthracogroup 6  
560 is 24.02 cm, the maximum diameter is 104.92 cm and the average growth rate  
561 is 2.37 mm. The average diameter of fragments from anthracogroup 5 is 16.88  
562 cm, the maximum diameter is 53.44 cm and the average growth rate is 1.16  
563 mm. The maximum diameter in group 5 and 6 may be erroneous due to very  
564 large outliers within the sample from context 3758. In addition, they are  
565 probably unreliable values as the margin of errors can be very important for  
566 diameters bigger than 20 cm (see Dufraisse et al., 2020). The presence of  
567 fragments belonging to anthracogroups 3, 7, 8, 5 and 6 suggest the  
568 exploitation of trunks older than 20 years old. Considering the estimated  
569 diameters it is likely that heartwood is underrepresented in this assemblage.

570 Anthracogroup 1 represents slow growing sapwood close to the pith and  
571 potentially corresponds to branch wood. 12.16% of the sampled fragments come  
572 from anthracogroup 1, these have an average diameter of 4.37 cm, a maximum  
573 diameter of 5.56 cm and an average growth rate of 1.02 mm a year.

574 The presence of wood from group 2 is nearly similar across the two contexts and  
575 represents 16.21% of the assemblage. Anthracogroup 2 represents fast

576 growing sapwood from young trees (less than 20 years old). The average  
577 diameter of fragments from anthraco-group 2 is 5.16 cm, the maximum  
578 diameter is 6.96 cm and the average growth rate is 2.36 mm. There are  
579 similarities between the two samples for anthraco-group 2. The average  
580 diameter for context 6136 is 5.51 cm and is 4.68 cm for context 3758. The  
581 average annual growth rate for context 6136 is 2.28 mm and for context 3758 is  
582 2.46 mm.

583

584

## 585 5. Interpretation of the anthraco-typological data

### 586 5.1. Dando Close

587

588 The majority of the wood is fast growing sapwood of less than 7 cm in diameter,  
589 followed by fast growing sapwood from the periphery of mature trees of more  
590 than 7 cm in diameter. There is no wood with a diameter over 20 cm. All four  
591 contexts reflect a similar pattern that little or no heartwood is present. The  
592 majority of the wood is sapwood belonging to anthraco-group 2 suggesting trees  
593 that are under 20 years old. There are no standout differences between the  
594 three samples from the mid 5<sup>th</sup> to mid 9<sup>th</sup> century AD period and the one sample  
595 from the mid 9<sup>th</sup> to 10<sup>th</sup> century. There are similarities between the composition  
596 of the anthraco-groups of contexts 3256 and the later context 3274. Both are  
597 dominated by similar amounts of young sapwood. They also have similar  
598 average growth rates of 1.93 mm and 2.64 mm respectively. The average  
599 diameters are also similar, 4.52 cm for context 3256 and 3.96 cm for context  
600 3274. It may be postulated that a similar harvest strategy is being practiced, on  
601 trees of a similar age and size at two different periods. Context 3274 is believed  
602 to come from the short-term deposit related to the burning down of a grain  
603 store, therefore the wood may relate to the building's structure. Context 3256 is  
604 ambiguous as it is not associated with any structure. As the sample contains  
605 branch wood it is unlikely that this is the burning of a single post.

606 Context 3295 (the fill of a post hole in a sunken feature building) is believed to  
607 represent a long term deposit and therefore may represent the common harvest  
608 strategy for domestic fuel. If so, this represents the harvesting of oak up to 14  
609 cm in diameter but also that of branch wood. The average growth for the context

610 is low at 1.66 mm, possibly suggesting the harvesting of wood from dense  
611 woodland where light levels are low.

612 Context 3719 (hearth) is believed to represent a short-term deposit. Its  
613 anthracological composition is similar to that of context 3295, as are the dendro-  
614 anthracological results. This could represent a similar harvesting strategy for  
615 domestic fuel.

### 616 5.2. Higham Ferrers

617 The majority of the wood belongs to fast growing sapwood from the periphery of  
618 mature trees. The diameter of the wood is mostly between 4-20 cm with over  
619 95% of the wood being sapwood. The majority of the wood is in anthraco-group  
620 6 suggesting the wood came from the outer section of tree trunks over 20 years  
621 old. 22.58% of the fragments from Context 4037 (the malting oven) are in  
622 anthraco-group 1. This suggests that some branch wood was used. No charcoal  
623 from context 2644 comes from anthraco-group 1. The presence of branch wood  
624 in context 4037 could relate to the use of wood trimmed from trees as fuel  
625 (Bernard et al, 2006), and could suggest the deliberate addition of branch wood  
626 to provide a fast burn at high temperature. The malting oven sample may  
627 represent a short-term deposit and therefore could be indicative of the wood  
628 selection strategy for the malting process. The earlier context 2644 was  
629 exclusively oak and may represent structural wood as it comes from the fill of a  
630 post pipe.

631

632

### 633 5.3. Flixborough

634 Both contexts represent the dumping of waste material, believed to be the result  
635 of the removal or renovation of buildings at the site. The anthracological  
636 assessment shows a dominance of oak but also hazel, ash and alder as  
637 secondary taxa. The majority of the wood belonged to fast growing sapwood  
638 from the periphery of mature trees, followed by wood from slow growing  
639 sapwood from the periphery of mature trees. The majority of the wood was  
640 above 10 cm in diameter. There is very little heartwood present. The majority of  
641 the wood is sapwood belonging to anthraco-group 5 and 6, suggesting trees that  
642 are over 20 years old. The growth rates are similar between the two periods.  
643 However, the size of wood used appears to have increased in the later context

644 3758 (mid 9<sup>th</sup> century) from an average of 18.06 cm for anthraco-group 6 up to  
645 27.90 cm for group 6 in the later period.

646

## 647 6. Discussion

648 All three sites show a dominance in the use of deciduous oak. This suggests that  
649 oak was likely to have been abundant in the local vicinities. These finding  
650 support the evidence from elsewhere in Britain during this period of oak being  
651 the primary source of wood for fuel and timber. Similarly, the evidence for  
652 secondary taxa of hazel and ash is also in agreement (Smith, 2002, Murphy et  
653 al., 2001, Huntley, 2010). The calibre of the wood varies from site to site with  
654 Dando Close using the smaller size ranges. The diameter of wood being used is  
655 greater at Higham Ferrers and greater still at Flixborough where there is  
656 evidence of the use of large trunk wood. The size of wood at Flixborough can be  
657 explained by the use in structures rather than as fuel. Whereas at the site of  
658 Dando Close and Higham Ferrers contextual information suggests that most of  
659 these assemblages are the result of the burning of firewood.

660 The sites of Dando Close and Higham Ferrers are comparable for several  
661 reasons. The sites are both rural early medieval settlements, situated in the  
662 same region. Both have similar geographic locations set on limestone ridges  
663 overlooking the Nene River Valley in a region of sparse woodland. Furthermore,  
664 the sampling strategies were similar, and therefore it is possible to compare the  
665 results. In the case of Dando Close, the small amount of heartwood present in  
666 the samples is significant. In over half these cases this can be explained by most  
667 of the charcoal coming from wood that is younger than 20 years old and hence  
668 would not have heartwood present. However, this does pose the question of why  
669 no heartwood is present in the remaining fragments that are from wood over 20  
670 years old. The site of Higham Ferrers also exhibits a similar absence of  
671 heartwood. It is unsure why heartwood is underrepresented. It could be a result  
672 of differential combustion or preservation. It should also be noted that the  
673 sapwood and heartwood of deciduous oak have different physical properties and  
674 therefore different parts of the tree may be selected for different purposes, such  
675 as industrial fuel, or timber. Other hypothesis are possible as the variation of the  
676 age of the duraminisation can depends on intrinsic and extrinsic parameters  
677 such as oak species (including hybridization) and environment.

678 The annual growth of the wood when separated into anthraco-groups shows that  
679 there is similarity between the sites in group 1 and 5 (slow growing sapwood)  
680 which may suggest branches growing at similar rates. The fast-growing sapwood  
681 of groups 2 and 6 also show similarities between the sites, although fragments  
682 in group 6 from Higham Ferrers have slightly larger average ring widths.

683

684 In the case of Flixborough the sampling strategy (hand collected fragments) was  
685 different to the other sites and therefore it is difficult to draw direct comparisons.  
686 However, because of the exceptional preservation of large fragments of  
687 charcoal, this has provided an excellent opportunity to assess the growth  
688 patterns of these trees.

689 Much of the charcoal rich contexts were believed to derive from the clearance  
690 and replacement of buildings. The original assessment of the contexts and  
691 structures carried out by Loveluck and Darrah (2007) postulated that if the  
692 structural oak timber posts were 25 cm in diameter, some repair would be  
693 needed in the first 20 years and a significant rebuilds every 40 years. If the  
694 wood had been seasoned it would last longer, also if heartwood was used it is  
695 more resilient to decay and rots more slowly (Loveluck and Darrah, 2007: 54-  
696 55). Structural assessments found physical evidence of post pads and trenches  
697 cut through to accommodate replacement posts (Loveluck and Darrah, 2007:  
698 55). The assessment found that most of the timber remains would have been  
699 roundwood of less than 25 cm in diameter (Loveluck and Darrah, 2007: 55).  
700 This is supported by this dendro-anthracological assessment as most of the  
701 fragments have an estimated diameter of over 10 cm. Loveluck and Darrah  
702 (2007: 55) also state that the wood was sapwood growing at a regular annual  
703 rate of up to 2 mm, consistent with trees growing in high forest (a woodland  
704 consisting of single stemmed trees growing from seed to their full height). In  
705 contrast, the dendro-anthracological assessment of the Flixborough oak showed  
706 that 80% of the fragments analysed have irregular growth patterns, suggesting  
707 either climatic or human disturbance to the trees (Fig. 20). Abnormal climatic  
708 events such as drought, extreme temperatures and precipitation can cause  
709 variations in the annual growth rings (Speer, 2010: 10). Physical damage to a  
710 tree, like trimming, grazing, coppicing, pollarding, or removing the crown can  
711 lead to the reduction in the width of the annual growth ring (Schweingruber et  
712 al., 2006: 113). All of these require cutting the stem or branches of broadleaved

713 species. The process relies on the plant sprouting from dormant buds or from  
714 suckers from the base of the tree (Deforce and Haneca, 2015). Trees that are  
715 coppiced have a higher radial growth rate in the first years compared with trees  
716 growing from seed. This is due to the large established root system of the  
717 coppice stool (Haneca et al., 2005). Some of the fragments analysed from  
718 Flixborough show large ring growth close to the pith for the first few years,  
719 reducing thereafter.

720

721

722



723

724 **Fig. 20.** Fragment 28 context 3758, showing large initial growth rings.

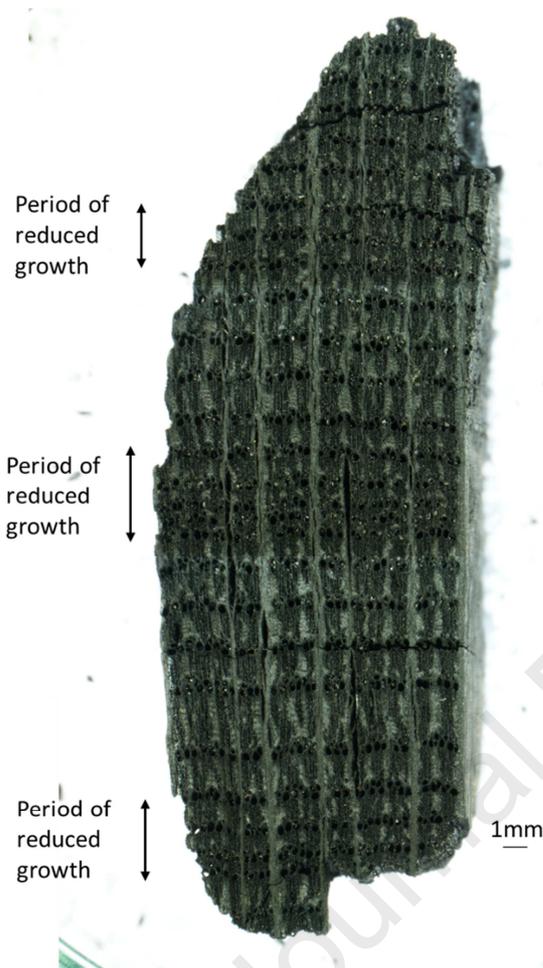
725

726 The process of pollarding or trimming oak causes an abrupt reduction in growth  
727 resulting in a reduced ring. Late wood is reduced, there is no alteration to the  
728 earlywood width in the first year after pollarding. The following two years show  
729 reduced earlywood and an overall reduction in the ring width. After this point the  
730 growth gradually returns to normal (Haneca et al., 2009). It is possible that  
731 some of the fragments that come from trees with large diameters show trends  
732 that could be the consequence of pollarding or trimming (Fig. 21). Alternatively,  
733 these irregular patterns could relate to the understory being cut at regular  
734 intervals.

735

736

737



738

739 **Fig. 21.** fragment 10 from context 6136, showing three periods of reduced growth followed by  
 740 subsequent accelerated growth.

741 It is our hypothesis that a form of woodland management is happening at this  
 742 site. During the early medieval period, woodland is believed to have been  
 743 broadly divided into coppice-wood and pasture wood (Rackham 1995:54).  
 744 Reviewing the evidence provided by the dendro-anthracological assessment, it is  
 745 unlikely that the wood comes from wood pasture as the average growth rate for  
 746 a free standing tree would be higher at around 4mm (Rackham 1995: 13).  
 747 Furthermore the presence of wood from younger trees would not support wood  
 748 pasture unless they were being protected from grazing animals. One suggestion  
 749 that would accommodate the findings is that of coppice with standards whereby  
 750 selected trees would grow to maturity within coppiced woodland. Alternatively,  
 751 the standards may be having their branches trimmed periodically in a form of

752 pollarding. The larger trees are witnessing periodic reduced growth, either as a  
753 result of the tree being damaged or as a result of the light level being steadily  
754 reduced. Once the canopy is opened up and light levels increase the trees show  
755 a growth increase.

756

## 757 7. Conclusion

758 The aim of this study was to expand the interpretation of the archaeological  
759 charcoal assemblages for the three study sites beyond the identification of taxa  
760 present and the diversity of the harvested wood. The anthracological analysis  
761 showed that deciduous oak was the main taxa being exploited with hazel and  
762 ash as secondary taxa. The application of dendro-anthracological tools have  
763 shown remarkable results, with data shedding light on the age range of the  
764 fragments, their size, growth rate and potential harvesting strategies being  
765 employed. The samples from Dando Close provided evidence that the majority of  
766 the charcoal came from fast growing sapwood from trees of less than 20 years  
767 old, with an estimated diameter of between 2 – 7 cm. The charcoal from the  
768 samples from Higham Ferrers represent a majority of fast-growing sapwood  
769 from trees older than 20 years and with an estimated diameter of between 4 –  
770 20 cm. The samples from Flixborough show that the majority of the charcoal  
771 came from fast growing sapwood from large trunks over 20 years old and of  
772 between 10 cm and in excess of 20 cm in diameter. The evidence from all three  
773 sites exhibit the lack of heartwood present. The irregular growth patterns visible  
774 on the large fragments from Flixborough suggest episodic disruption to the  
775 growth rate of the wood, which we postulate is being caused by a woodland  
776 management regime such as pollarding or the management of coppice with  
777 standard.

778

779 To take this interpretation further the dendro-anthracological sampling of the  
780 three sites needs to be increased so as to create a more expansive dataset.  
781 Additionally, it would be desirable to apply the dendro-anthracological tools to  
782 the next most abundant taxa, those of hazel and ash. This would allow for a  
783 wider interpretation of harvesting strategies and woodland management.

784

785

## 786 Acknowledgements

787 This study represents part of the objectives of R Francis' PhD thesis *Agriculture,*  
788 *Horticulture, Woodland Management and Society in England, c. AD400 – 1100:*  
789 *integrated perspectives. (From archaeobotany, dendroanthracology, textual*  
790 *sources and experimental archaeology). R Francis is funded by a PhD grant from*  
791 *the Arts and Humanities Research Council Funding. AHRC funding through the*  
792 *Midlands4Cities Doctoral Training Partnership. The authors acknowledge the*  
793 *curators of the archaeobotanical material Rebecca Nicholson of Oxford*  
794 *Archaeology, James Rackham of Environmental Archaeology Consultancy and*  
795 *Rose Nicholson of the North Lincolnshire Museum and thank them for the loan of*  
796 *the charcoal assemblages analysed.*

797 The authors acknowledge M. Lemoine (CNRS) assistance in dendro-  
798 anthracological data curation.

799 The authors would also like to thank the guest editor, Eleni Asouti, for the  
800 invitation to participate in this special issue.

801

## 802 Formatting of funding sources

803 This work was supported by the Arts and Humanities Research Council Funding.  
804 AHRC funding through the Midlands4Cities Doctoral Training Partnership [grant  
805 numbers M3C1299, M3C1509]

806

## 807 Authorship contribution statement

808 Robert Francis: Dendro-anthracological methodology, Anthracological and  
809 dendro-anthracological data curation, Writing - original draft, Writing - review &  
810 editing, Funding acquisition.

811

812 Alexa Dufraisse: Dendro-anthracological methodology, participation to Writing -  
813 original draft, Writing - review & editing

814

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Journal Pre-proof